

PTO/SB/17 (12-04v2)

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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**
For FY 2005☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 200.00

Complete if Known

Application Number	10/026,029
Filing Date	December 18, 2001
First Named Inventor	Charles T. Rettner et al.
Examiner Name	Glenda P. Rodriguez
Art Unit	2651
Attorney Docket No.	ARC920010114US1

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CENTRAL FAX CENTER**JUL 06 2005****METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):☒ Deposit Account Deposit Account Number: 09-0441 Deposit Account Name: International Business Machines

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) ☒ Credit any overpayments

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)	Small Entity Fee (\$)
50	25

Each independent claim over 3 (including Reissues)

200	100
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Multiple dependent claims

360	180
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Total Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**- 20 or HP = 4 x 50.00 = 200.00

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**- 3 or HP = 200.00 x 200.00 = 40000.00

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(c)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
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- 100 = / 50 = (round up to a whole number) x = **4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge):

SUBMITTED BY

Signature	Daniel E. Johnson	Registration No.	37,033	Telephone	408-927-3367
Name (Print/Type)	Daniel E. Johnson	(Attorney/Agent)		Date	July 6, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 42 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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TRANSMITTAL FORM

FEE FORM

SUMMARY OF APRIL 26, 2005 TELEPHONE INTERVIEW

RESPONSE TO OFFICE ACTION DATED JUNE 6, 2005

TOTAL NUMBER OF PAGES SUBMITTED INCLUDING COVER PAGE: 18

SERIAL NUMBER: 10/026,029

FILED: 12/18/2001

INVENTOR: C. T. RETTNER ET AL.

DOCKET NUMBER: ARC920010114US1

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PTO/SB/21 (08-00)

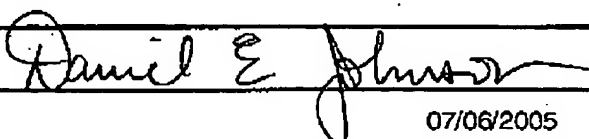
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/026,029	
	Filing Date	12/18/2001	
	First Named Inventor	C. T. Rettner et al.	
	Group Art Unit	2651	
	Examiner Name	Glenda P. Rodriguez	
Total Number of Pages in This Submission		18	
		Attorney Docket Number	ARC920010114US1

ENCLOSURES <i>(check all that apply)</i>		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply Response to Office Action dated 6/7/05 <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> Summary of April 26, 2005 Telephone Interview
Remarks 		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Daniel E. Johnson
Signature	
Date	07/06/2005

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being transmitted to the U.S. Patent and Trademark Office via facsimile on the date shown below.		07/06/05
Typed or printed name	Cheryl G. Ruby	
Signature		Date 07/06/2005

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CENTRAL FAX CENTER****JUL 06 2005****PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: : July 6, 2005
Charles T. Rettner et al. : Group Art Unit: 2651
Serial No.: 10/026,029 : Examiner: Glenda P. Rodriguez
Filed: December 18, 2001 : San Jose, California
Title: **OPTICAL APERTURE FOR DATA RECORDING HAVING
TRANSMISSION ENHANCED BY SURFACE PLASMON RESONANCE**

SUMMARY OF APRIL 26, 2005 TELEPHONE INTERVIEW

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

The Examiner initiated a telephone interview to discuss the above-referenced application. The following individuals participated: Examiner Rodriguez, Inventor Charles Rettner, and Applicants' representative Daniel Johnson.

Applicants are in agreement with the Examiner's characterization of this interview as summarized in her June 7, 2005 Interview Summary.

In addition, Mr. Johnson asked about the status of the preliminary amendment which was filed March 15, 2002. The Examiner stated that this amendment had in fact been entered.

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01 FC:1201 200.00 DA

ARC920010114US1**-1-****10/026,029**

Respectfully submitted,

Charles T. Rettner et al.

A handwritten signature in black ink, appearing to read "Daniel E. Johnson", with a horizontal line drawn underneath it.

Daniel E. Johnson (Reg. No. 37,033)
Agent for Applicants
Phone (408) 927-3367

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10/026,029